Putting the Genie Back in the Bottle: The Science of Nuclear Non-Proliferation

Jerry Gilfoyle Physics Department, University of Richmond, Virginia

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Outline:	1.	Nuclear Weapons 101.
	2.	The Comprehensive Test Ban Treaty.
	3.	North Korean Nuclear Tests.
Section of the sectio	4.	Why should you care?
	5.	More Reasons to Care.
	6.	Some Conclusions.

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Science Policy

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- Return (?) to Great Power competition in 2015.

- **•** Fissile materials (²³⁵U, ²³⁹Pu) release enormous energies.
- As each nucleus splits, it emits 2 or so neutrons plus lots of energy (≈ 180 MeV).
- If density is high, a 'chain reaction' will cause other fissions in a self-propagating process.



 Only about 8 kg of plutonium or 25 kg of highly-enriched uranium (HEU) is needed is needed to produce a weapon.



- Energy released in the form of light, heat and blast.
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- Thermal radiation ≈30-50% of total energy.
- Ionizing radiation ≈5% of total energy.
- Residual radiation ≈5-10% of total energy.
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The Comprehensive Test Ban Treaty (CTBT)

- The CTBT bans all nuclear explosions to limit the proliferation of nuclear weapons.
- A network of seismological, hydroacoustic, infrasound, and radionuclide sensors will monitor compliance.
- On-site inspection to check compliance.
- The US has signed the CTBT (1996), but has not ratified it.





Green - ratified Blue - signed Red - outside treaty

The CTBT Verification Regime

- The International Monitoring System (IMS), consists of 337 facilities that constantly monitor for signs of nuclear explosions. Over 70% are already collecting data.
- Detection technologies:
 - Seismic: 50 primary and 120 auxiliary seismic stations monitor shock waves.
 - Hydroacoustic: 11 hydrophone stations 'listen' for sound waves in the oceans.
 - Infrasound: 60 stations on the surface can detect ultra-low frequency sound waves (inaudible to the human ear) that are emitted by large explosions.
 - Radionuclide: 80 stations measure radioactive particles in the atmosphere, 40 also pick up noble gases.
- On-site-Inspection: If IMS data from the IMS show a nuclear test has occurred, a Member State can request an on-site-inspection subject to a vote.





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Testing the Testers

- North Korean tests a nuclear bomb October 9, 2006.
 - Over 20 CTBTO seismic stations detect it.
 - Radionuclides detected two weeks and 4700 miles away (!) in the Yukon.
 - Yield: 0.7 2.0 kt.
- They do it again on May 25, 2009
 - 61 CTBTO seismic stations detect blast.
 - No radionuclides are found!!?? Epic fail?
 - Yield: 2 5.4 kt.
- February 12, 2013 Test
 - 94 seismic, 2 infrasound stations.
 - Radionuclides found again!
 - Yield: 6 16 kt.
- January 6, 2016 Test
 - NK claims a hydrogen bomb, but data consistent with previous test.
 - Yield: 7 10 kt.
 - Radionuclide evidence inconclusive due to background.



- September 9, 2016 Test
 - Yield: 20-30 kt.
 - No radionuclides detected.
- September 3, 2017 Test.
 - Yield is large 70-280 kt.
 - Hydrogen bomb or boosted fission.
 - error ellipse = ± 6.7 km.

Testing the Testers



What is Happening?

- Geologists detect the shaking induced by the blast and pinpoint the site of the explosion within 100 meters first sign of a test. And then estimate the yield (**geology**).
- A few special nuclei made in the blast (xenon) are chemically inert and find their way through a kilometer of rock to reach the atmosphere (geology, nuclear physics).
- Calculations of the weather enables meteorologists to predict the spread of the plume from the blast (meteorology, physics, computer science).
- Air monitoring stations process huge amounts of air to capture the xenon atoms (**chemistry**).
- Nuclear physics detectors make the final identification of the decay of the xenon nuclei (nuclear physics).
- Now comes the response (political science).

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 - Higher yield bomb could have sealed the rock from venting.
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The American Geophysical Union and the Seismological Society of America have stated the IMS will detect all explosions down to 1 kiloton (and much less in some areas) and within a radius of 35 km (October, 2009).

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• The worst-case scenario under a no-CTBT regime poses far bigger threats to U.S. security - sophisticated nuclear weapons in the hands of many more adversaries - than the worst-case scenario of clandestine testing in a CTBT regime, within the constraints posed by the monitoring system.

National Academy of Sciences (NAS), Technical Issues Related to the Comprehensive Nuclear-Test-Ban Treaty, Washington, D.C., National Academy Press, 2002, pp. 10.



Status of the CTBT in the Trump Administration

- Interpretation the second state of the seco
- **2** Commended the CTBTO IMS for detecting nuclear test explosions.
- Requested full funding for the CTBTO though some Republicans in Congress are aiming to restrict that funding.
- Ordered the Department of Energy to be ready to conduct a short-notice nuclear test in as little as six months.²
- The yet-to-be-released Nuclear Posture Review (NPR) notes the return to Great Power competition with Russia, China, and Iran.³
 - Broadens the circumstances for a nuclear response to non-nuclear attacks on populations, infrastructure, and U.S. nuclear forces.
 - It calls for new low-yield weapons and the re-introduction of submarine-launched cruise missiles.
- **O** US and Russia are still maintaining compliance with the 2010 START Agreement.⁴

² W.J. Hennigan, Time, February 1, 2018, http://time.com/5128394/donald-trump-nuclear-poker/

³ Steven Pifer, Brookings Institute, Monday, February 5, 2018,

 $\tt https://www.brookings.edu/blog/order-from-chaos/2018/02/05/questions-about-the-nuclear-posture-review the state of the$

⁴ Felicia Schwartz and Michael R. Gordon, Wall Street Journal, Feb. 5, 2018,

https://www.wsj.com/articles/u-s-says-it-has-met-nuke-reduction-target-under-treaty-with-russia-1517828668

Another Reason To Care - Timeline of North Korean Nuclear Program

- 1960's First NK reactor built at Yongbong with Soviet help.
- 1970's Plutonium reprocessing starts with Soviet help.
- 1980's NK develops nuclear weapons infrastructure with significant outside help.
- 1990's US President G.H.W. Bush announces US withdrawal of all nuclear weapons from S. Korea.
 - US, SK, and NK agree to nuclear-free Korean peninsula, but mutual inspections fail.
 - Agreed Framework "freezes" NK nuclear program and allows inspections in exchange for building power reactors and fuel oil.
- 2000's US President G.W. Bush names NK in the axis of evil.
 - Agreed Framework collapses over delays in inspections (US) and construction of reactors (NK).
 - NK starts reprocessing spent fuel, gets technical support for nuclear weapons from Pakistan in exchange for missile technology.
 - First nuclear tests.
- 2010's NK nuclear tests show increasing yield and technical prowess.
 - Rapid growth in missile technology.

Another Reason To Care - Timeline of North Korean Nuclear Program

- US Defense Intelligence Agency finds that North Korea has produced a miniaturized nuclear warhead for mounting on an ICBM and could have up to 60 nuclear warheads.⁵
- Rapid development of intercontinental ballistic missile capabilities (17 tests in 2017) leading to the Hwasong-15 tested on November 28, 2017 with a potential range of 13,000km range.



The population of Seoul, South Korea area is about 25 million and is located 40 km from the Demilitarized Zone. Seven hundred artillery pieces and rockets (out of an arsenal of about 14,000 guns and rockets) can reach Seoul. Twenty-three thousand US troops are stationed in South Korea.

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			Chang	e since
Rank / 24		Score / 100	2014	2012
1	Australia	93	0	+3
2	Switzerland	91	+2	+4
3	Canada	87	+2	+8
4	Poland	84	+3	+7
=5	Belgium	83	+3	+13
=5	Germany	83	+1	+6
=5	Norway	83	+2	+5
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Without a comprehensive and effective global system in place, states approaches to nuclear security continue to vary widely, thereby creating dangerous weak links that terrorists could exploit as they seek the easiest path to weapons-usable nuclear materials.

Conclusions

- Do we live in a safer world than during the Cold War? Yes, sort of.
 - The threat of nuclear Armageddon has receded with the lowering of tensions between Russia and the US.
- Has the threat of a nuclear conflict increased? Yes, sort of.
 - While the threat of a large-scale nuclear war between Russia and the US is smaller, the proliferation of nuclear weapons technology has increased the risk of nuclear weapons being used.
- What can be done? Lots, but it will take time, money (Opps! There goes my tax cut!) and leadership from the US (CTBT, NPT, ABM, BWC, CTR).
- What can I do?
 - Learn! Cut through the hype.
 - Vote! Write to Congress.
 - The US and other countries are in desperate need of technical expertise.



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- Production of trained scientists, engineers, technicians. all from basic science research.

About 200 doctoral theses have come out of JLab.



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Science Policy

Additional Slides

Can an Opponent Cheat on the CTBT?

- U.S. and Russian experiments have demonstrated that seismic signals can be muffled, or decoupled, for a nuclear explosion detonated in a large underground cavity.
- Such technical scenarios are credible only for yields of at most a few kilotons.
- Other scenarios require mine-masking, multiple explosions, hide-in-an-earthquake.
- The IMS is expected to detect all seismic events of about magnitude 4 or larger corresponds to an explosive yield of approximately 1 kiloton (the explosive yield of 1,000 tons of TNT).

What can be learned from low-yield, surreptitious blasts?

Can it extrapolated to full-up tests?



Demonstration of size of cavity needed to decouple a 5 kT blast.

US Congress, Office of Technological Assessment, *Verification of Nuclear Testing Treaties*, OTA-ISC-361, (Washington, DC; US Government Printing Office; May, 1988).

Science Policy

"All the News That's Fit to Print"



Late Edition

New York: Today, cloudy with some light snow, high 35. Tonight, early snow, low 27. Tomorrow, becoming partly sunny, high 35. Yesterday, high 34, low 25. Weather map, Page D8.

VOL. CL . . No. 51,624

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NEW YORK, FRIDAY, JANUARY 5, 2001

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REPORT TO CLINTON ASKS U.S. TO RATIFY TEST-BAN TREATY

A LAST-DITCH CAMPAIGN

Retired Head of Joint Chiefs Seeks to Assuage Critics of Pact Assailed by Bush

By MICHAEL R. GORDON

A former chairman of the Joint Chiefs of Staff who conducted a comprehensive study of the nuclear test ban treaty at the request of President Clinton has concluded that the United States must ratify it in order to mount an effective campaign against the spread of nuclear weapons.

The assessment by Gen. John M. Shalikashvil, who was chairman of the Joint Chiefs from 1993 to 1997, is part of a last-ditch attempt by Mr. Clinton to build support for the treaty, which Senate Republicans rejected in 1998 and on which Presidentelect George W. Bush's own top aides have sharply disagreed.

General Shallkahvill's report outlines measures intended to assuage critics of the treaty, including increased speeding on verification, greater efforts to maintain the United States nuclear arsenal and a joint review by the Senate and administration every 10 years to determine whether the treaty is still in American interests.

President-elect Bush assailed the treaty as unverifiable and unenforce-

Road Ban Set For One-Third Of U.S. Forests Clinton Order Will Put

Clinton Order Will Pu Logging Off Limits

By DOUGLAS JEHL

WASHINGTON, Jan. 4 — In the biggest land conservation act in decades, President Clinton will approve an order on Friday putting nearly a third of the national forest land permanently off limits to road building and logging.

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The president's order, a strengthened version of an October 1999 administration proposal, is likely to set off furious challenges from Western states and Republican lawmakers who have called the plan hasty and irresponsible.

Among those who plan to head almost immediately to federal coart to try to block the sweeping effort is the governor of Idaho, who with other Westerners has denounced the action as an unwise intrusion into landuse decisions better made at a local level.

In the presidential campaign,

Three Who Are Losing Their Old Chairmanships ...



Bud Shuster of Pennsylvania Former chairman of the Transportation and Infrastructure Committee announced yesterday that he was resigning. Associated Pre

Former chairman of the Judiciary Committee, who led the impeachment of President Clinton; new chairman of International Relations.

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Einancial Sensices

Former chairman of Banking and Financial Services Committee; still in Congress but no longer a chairman.



FIGHT FOR COVETED POSTS

In the Evenly Divided Senate, Democrats Move Toward a Deal to Share Power

By LIZETTE ALVAREZ

WASHINGTON, Jan. 4 — Six years after promising to change the ways of Washington fundamentally, House Republicans today made good on their pledge to curtail the power of committee barons and replaced 13 of their most senior chairmen.

The newly created selection process created fierce competition among members who sough the positions, intensified party fund-raising by the members seeking to demonstrate loyalty and led to the creation of a new committee.

Representative Bill Thomas, a California Rhown for his sharp intellect and temper, was named as the chairman of the Ways and Means Committee, which oversees tax polidefeating a more senior and more conservative competitor. And Representative Henry J. Hyde of Illinois, who as chairman of the Judiciary Committee handled President Clintion Interpretations, will now head the Committee Handled President Clintice Interpretations Relations Committee.

In an institution where change usually comes slowly and against great



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The Soviet and US Nuclear Arsenals

- By the end of the Cold War the US and USSR had nuclear arsenals containing about 64,000 warheads on various delivery vehicles.
- US and Soviet military stockpiles contained about 1600 tons of highly-enriched uranium (HEU) and about 200 tons of plutonium.



• An unforeseen consequence of the end of the Cold War was the disposition of nuclear weapons materials.

Jerry Gilfoyle

Loose Nukes?

Fissile Material Security in Russia Declines

- The economic situation in Russia left few funds for maintaining the security of now-unused nuclear materials.
- Reports by the National Research Council in 1994, 1997 and 1999 have revealed the extent of the decline of security.



Building at the Kurchatov Institute housing enough HEU for a nuclear bomb. It had no motion sensors, detectors, or portal monitors.

- In the 1990's there have been numerous instances of smugglers apprehended with nuclear materials.
- In late 1998 the Russian FSB (successor to the KGB) reports stopping an attempt to steal 18.5 kg of weapons-usable material.

- The US and most other nations have a long-standing policy of nuclear nonproliferation.
- A nuclear blast would have horrific consequences; loss of life, property, and security.
- Even acquisition of a nuclear weapon by an adversary could have a devastating influence on US security and non-proliferation.
- One of the highest hurdles to obtaining a nuclear weapon is acquiring enough weapons-grade fissile material to produce a bomb. Iraq spent \$5-\$10 billion in the 1980's to produce a few grams of plutonium.
- Smuggling fissile material is a 'short-cut' to acquiring nuclear weapons; it lowers the acquisition hurdle.
- Prevention (*i.e.*, security) is critical especially against an 'insider' threat.

What Can an Opponent Do?

- What can a terrorist organization do?
 - Acquiring the necessary technology to enrich uranium or plutonium is beyond the capabilities of most terrorists.
 - Stealing the necessary fissile material is NOT!
 - A gun-type, uranium weapon of low yield is still a difficult endeavor, but could be done.
 - There are other alternatives for terrorists like a 'dirty bomb'.
 - The likeliest terrorist weapons are still guns and bombs.
- All of the above can be negated if one of the current nuclear powers gives one away. This is unlikely.
- There is continued smuggling activity for nuclear materials.
- The ITBD includes three incidents involving HEU and three involving plutonium during the period 1992-2015.



The US Response

- In 1991 the US Congress passes the Nunn-Lugar Act. The US pays to improve security of fissile materials and to dismantle the Russian nuclear complex (cooperative threat reduction).
 - The US spent about \$700 million a year to reduce this threat.
 - The Fissile Material Storage Facility (FMSF) securely stores plutonium and uranium from dismantled weapons.
 - HEU Purchase Agreement downblended about 500 metric tons of HEU to reactor fuel (not usable in a nuclear weapon) for \$20 billion.



Fissile Material Storage Facility at Mayak financed by the US Cooperative Threat Reduction program.

• Most of these cooperative programs ended by December, 2014 due to the conflict over Russian actions in the Ukraine.







There exists a publicly unknown number of	buildings containing weapon-usable nuclear
material in Russia on which the United State	s and Russia have never agreed to cooperate

Country	Year	
Iraq	1992	
Colombia	1996	
Spain	1997	
Denmark	1998	
Georgia	1998	
Philippines	1999	
Thailand	1999	
Slovenia	1999	
Brazil	1999	
Sweden	2002	
Greece	2005	
South Korea	2007	
Latvia	2008	
Bulgaria	2008	
Portugal	2008	
Romania	2009	
Libya	2009	
Taiwan	2009	
Turkey	2010	

Countries that have eliminated all weapons-usable fissile material.

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Number of Building Upgrades Completed During the Fiscal Yes	Cumulative Buildings with Comprehensive Upgrades		Country	Year	
1996 5	1996 5	E Projections		Iraq Colombia	1992 1996
		% of 2017			
Action Taken	Completed	Goal	Action Taken	Completed	% of 2017 Goal
Warheads			SLBM Launchers		
Deactivated	7616	82.2%	Eliminated	492	80.4%
ICBMs Destroyed			Nuclear Air-to-Surface		
	914	87.8%	Missiles Destroyed	906	100%
ICBM Silos Eliminated	498	76.4%	Bombers Eliminated	155	100%
ICBM Mobile			Nuclear Test		
Launchers Destroyed	197	54.9%	Tunnels/Holes Sealed	194	100%
Nuclear Weapons-			Nuclear Weapons		
Carrying Submarines			Transport Train		
Destroyed	33	84.6%	Shipments	611	73.7%
Submarine-Launched			Nuclear Weapons		
Ballistic Missiles	10004400		Storage Facility	100	
(SLBMs) Destroyed	695	95.3%	Upgrades	24	100%
Cooperative Biological			Declared CW Agent		
Engagement	194		Destroyed (Metric		
Laboratories Secured	47	57.3%	Tons)	4018.6	73.4%

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If you want to get paid (jobs):

- The National Academies (NAS, NAE, NRC, IOM) hire Senior Project Assistants and Research Assistants.
- The scientific societies (AIP, APS, AGU, AGI, ACS, AAAS or AAS) hire science policy researchers.
- Other organizations like the Center for Science, Policy, and Outcomes, the Federation of American Scientists, and the Union of Concerned Scientists sometimes hire researchers.
- The General Accounting Office hires researchers.
- The Congressional Research Service (CRS) produces an annual guide of policy jobs in Washington, DC.

- Policy-makers are in dire need of technical expertise in writing laws to evaluate national security threats, handle privacy, and regulate medical diagnostic testing.
- People are hungry for information.
- An educated electorate is essential.
- Training the populace could save lives in the event of an attack.
 - Panic will amplify the effect of an attack.
 - Panic is greatly diminished when people receive training.

What should you stay awake worrying about at night?

Deaths	Cause	Deaths	Cause
in 2014*		in 2014*	
2,626,418	All causes	11,019	Homicide
614,348	Heart disease	42,032	Poisoning
33,736	Vehicle accidents	31,959	Falling
55,227	Influenza/Pneumonia	3,406	Drowning
42,826	Suicide	2,701	Fire

*National Vital Statistics Reports, 65, no. 4, June 30, 2016.